

# Interface and contact problems

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May 30, 2016

# Which equations describe materials in contact?

Basic principle: Energy is additive

For a system composed of two subsystems

$$\text{Total Energy} = \text{Energy of 1} + \text{Energy of 2} + \text{Interaction betw. 1/2.}$$

In elastic problems, the interaction might correspond to friction between 1/2, “surface energy” etc.  $\rightsquigarrow$  *localized on  $\Gamma_c$*  = contact area

$$(\text{Interaction betw. 1/2}) = \int_{\Gamma_C} \cdots dx$$

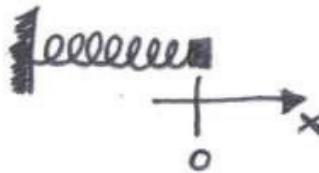
Nature minimizes Total Energy over physically allowed configurations.  
In this course this will lead to

unconstrained, smooth variational problems  $\rightsquigarrow$  PDE

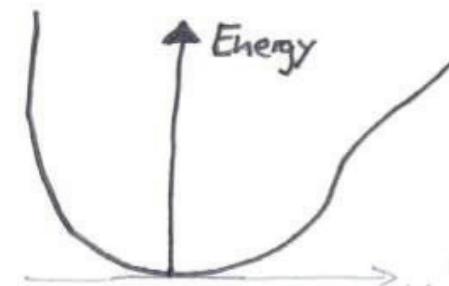
variational problems not  $C^1$  or constrained  $\rightsquigarrow$  variational inequalities

# Energy

Hooke's law:

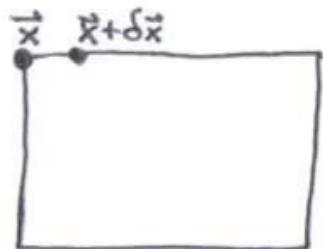


$$\text{Energy}(x) \approx \frac{1}{2} kx^2$$



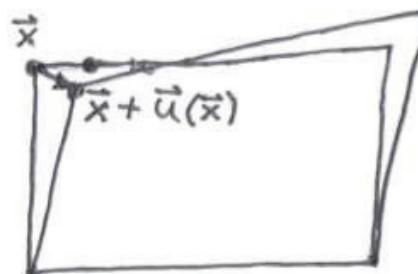
- Approximation for small  $|x|$
- not useful for large  $|x|$   
or if  $k \rightarrow 0$ .

Continuous media



material at rest  
(reference)

Initial positions  $\vec{x}, \vec{x} + \delta\vec{x}$



deformed material

deformed positions  $\vec{x} + \vec{u}(\vec{x}), \vec{x} + \delta\vec{x} + \vec{u}(\vec{x} + \delta\vec{x})$   
 $\vec{u}(\vec{x})$  deformation

$$\text{Energy} \approx \frac{1}{2} \delta\vec{x} \cdot \underbrace{\mathbf{C}(\nabla \vec{u} + \nabla \vec{u}^T)}_{\text{matrix}} \delta\vec{x}$$

# Total energy

$$\text{Energy}(u) \simeq \frac{1}{2} \int_{\Omega} \varepsilon(u) : \mathcal{C}\varepsilon(u) \, dx = \int_{\Omega} \mathcal{W}(\varepsilon(u(x))) \, dx$$

- Here  $\varepsilon(u) = \frac{1}{2}(\nabla u + \nabla u^T)$ ,  $\nabla u = \begin{pmatrix} \partial_{x_1} u_1 & \partial_{x_2} u_1 & \partial_{x_3} u_1 \\ \partial_{x_1} u_2 & \partial_{x_2} u_2 & \partial_{x_3} u_2 \\ \partial_{x_1} u_3 & \partial_{x_2} u_3 & \partial_{x_3} u_3 \end{pmatrix}$ .
- The integrand is  $\sum_{i,j,k,l=1}^3 \varepsilon_{ij} \mathcal{C}_{ijkl} \varepsilon_{kl}$ .
- Large  $\nabla u \rightsquigarrow$  quadratic approximation bad.

## Properties of energy density $\rightsquigarrow$ physics

$\mathcal{W}(\varepsilon(u))$  convex function  $\rightsquigarrow$  larger deformations require larger force  
 $\mathcal{W}(\varepsilon(u))$  nonconvex function: phase transitions!

# Nature minimizes energy

For simplicity, we will mostly consider a toy problem:

- $u : \Omega \rightarrow \mathbb{R}$  scalar function.
- $\nabla u$  instead of  $\varepsilon(u) = \frac{1}{2}(\nabla u + \nabla u^T)$ .
- $\rightsquigarrow$  simplest energy (with force  $f$ ) is given by

$$\text{Energy}(u) = \frac{1}{2} \int_{\Omega} (D(x)\nabla u(x)) \cdot \nabla u(x) \, dx - \int_{\Omega} f(x)u(x) \, dx$$

Nature finds (local) minimizers of the energy:

$$\frac{d\text{Energy}}{du} = 0$$

What does  $\frac{d\text{Energy}}{du} = 0$  mean?

For all “physically” reasonable  $h : \Omega \rightarrow \mathbb{R}$

$$\frac{d}{dt} \Big|_{t=0} E(u + th) = 0$$

# Nature minimizes energy $\rightsquigarrow$ differential equations

$$\text{Energy}(u) = \frac{1}{2} \int_{\Omega} (D\nabla u) \cdot \nabla u \, dx - \int_{\Omega} fu \, dx$$

$\Omega \subset \mathbb{R}^n$  bounded, force  $f$ , both nice.  $D = 1$ ,  $n$  unit normal vector to  $\partial\Omega$ .

## Theorem

$u$  minimizes  $\text{Energy}(v) = \frac{1}{2} \int_{\Omega} (\nabla v)^2 - \int_{\Omega} fv$  over  $H^1(\Omega)$   
 $\iff u \in H^1(\Omega)$  solves  $-\Delta v = f$ ,  $\frac{\partial v}{\partial n} \Big|_{\partial\Omega} = 0$

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Proof:  $\forall h \in H^1(\Omega)$

$$\begin{aligned} E(u + h) - E(u) &= \frac{1}{2} \int_{\Omega} (\nabla u + \nabla h)^2 - \int_{\Omega} f(u + h) - \frac{1}{2} \int_{\Omega} (\nabla u)^2 + \int_{\Omega} fu \\ &= \int_{\Omega} \nabla u \nabla h - \int_{\Omega} fh + \frac{1}{2} \int_{\Omega} (\nabla h)^2 \\ &= - \int_{\Omega} (\Delta u + f)h + \int_{\partial\Omega} (n \cdot \nabla u)h + \frac{1}{2} \int_{\Omega} (\nabla h)^2 \end{aligned}$$

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“ $\Rightarrow$ ” If  $-\Delta u = f$ ,  $\frac{\partial v}{\partial n} \Big|_{\partial\Omega} = 0 \Rightarrow E(u + h) - E(u) = 0 + \frac{1}{2} \int_{\Omega} (\nabla h)^2 \geq 0$

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“ $\Leftarrow$ ”

$$E(u + \lambda h) - E(u) = -\lambda \left( \int_{\Omega} (\Delta u + f)h + \int_{\partial\Omega} (n \cdot \nabla u)h \right) + \frac{\lambda^2}{2} \int_{\Omega} (\nabla h)^2 \geq 0.$$

For all  $\lambda \in \mathbb{R} \Rightarrow \int_{\Omega} (\Delta u + f)h + \int_{\partial\Omega} (n \cdot \nabla u)h = 0$ .

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For all  $\lambda \in \mathbb{R} \Rightarrow \int_{\Omega} (\Delta u + f)h + \int_{\partial\Omega} (n \cdot \nabla u)h = 0$ .

For all  $h \in H_0^1 \Rightarrow \int_{\Omega} (\Delta u + f)h = 0$ , therefore  $\Delta u + f = 0$  a.e.

Thus, for all  $h \in H^1 \Rightarrow \int_{\partial\Omega} (n \cdot \nabla u)h = 0$ , therefore  $n \cdot \nabla u = 0$  a.e.

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inhomogeneous/anisotropic energy:

$$E(u) = \frac{1}{2} \int_{\Omega} (\nabla u(x))^t D(x) \nabla u(x) - \int_{\Omega} fu, D = D^t > 0 \rightsquigarrow -\operatorname{div}(D \nabla u) = f$$

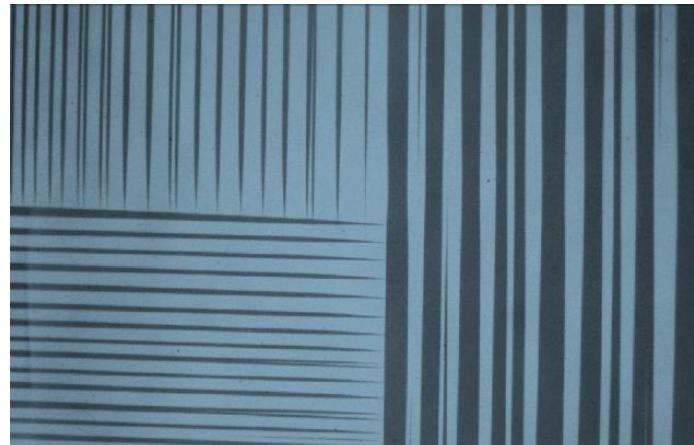
# Examples of nonlinear operators

$$E(u) = \frac{1}{2} \int_{\Omega} (\nabla u(x))^t D(x) \nabla u(x) - \int_{\Omega} f u \rightsquigarrow -\operatorname{div}(D \nabla u) = f$$

p-Laplace:  $E(u) = \frac{1}{p} \int_{\Omega} |\nabla u|^p - \int_{\Omega} f u \rightsquigarrow -\operatorname{div}(|\nabla u|^{p-2} \nabla u) = f$  ,  
 $p \in (1, \infty)$

- $p < 2$  hair gel, glaciers,  $p > 2$  thick emulsion of sand and water

phase transitions / bistable materials: double-well potential

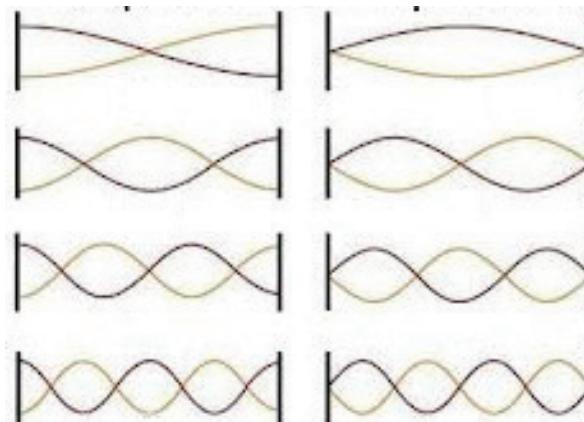


(from S. Müller)

$$E(u) = \int_{\Omega} |\nabla u - (1, 0)|^2 |\nabla u - (0, 1)|^2 - \int_{\Omega} f u$$

# Boundary conditions

Neumann and Dirichlet:



Contact: Signorini (= nonpenetration,  $\perp$  wall) and friction ( $\parallel$  wall)

