

$C^1$  isometric embedding

Let  $(\Sigma, g)$  Riemannian manifold,  $\varphi: \Sigma \rightarrow \mathbb{R}^N$  short if  $\varphi^* \text{incl.} \leq g$ .

- $\varphi^* \text{incl.} = (\partial_i \varphi) \cdot (\partial_j \varphi) dx_i \otimes dx_j$
- $h \leq g \Leftrightarrow h_{ij} \xi_i \xi_j \leq g_{ij} \xi_i \xi_j, \forall \xi_{i,j}$

deLellis: • Masterpieces of Nash  
• Nash's nonlinear iteration

Thm: (Nash-Kuiper)

- $(\Sigma, g)$  smooth, closed,  $\dim \Sigma = n$ .
- $\varphi: \Sigma \rightarrow \mathbb{R}^N, C^\infty$  short,  $N \geq n+2$  (immersion)

$\Rightarrow \forall \varepsilon > 0 \exists$  isometric  $C^1$ -immersion  $u: \Sigma \rightarrow \mathbb{R}^N; \|u - \varphi\|_{C^0} < \varepsilon$ .

If  $\varphi$  is an embedding, then we can choose  $u$  to be an embedding.

Corollary: Any ~~smooth~~ smooth  $n$ -dim Riemannian manifold has a  $C^1$ -isometric immersion in  $\mathbb{R}^{2n}$  and a  $C^1$ -isometric embedding in  $\mathbb{R}^{2n+1}$ . If the manifold is closed, then  $\exists C^1$ -isometric embedding in  $\mathbb{R}^{2n}$ .

Note: While the statement of the thm. is geometric, the key ingredients of the proof are PDE techniques. Like for the smooth embedding thm., the key idea is a clever nonlinear iteration.

In order to get from geometric to PDE problem in  $\mathbb{R}^n$ , we require a suitable choice of local coords. The choice as in the smooth case does the job.

Key proposition (2.1. deLellis, NNC):

$(\Sigma, g)$  as in Thm.,  $z: \Sigma \rightarrow \mathbb{R}^N, C^\infty$  strictly short immersion

Then,  $\forall \eta, \delta > 0, \exists$  smooth short  $z_1: \Sigma \rightarrow \mathbb{R}^N$ , s.t.  $\|z - z_1\|_0 \leq \eta$

$\|g - z_1^* \text{incl.}\|_0 \leq \delta, \|Dz_1 - Dz\|_0 \leq C \|g - z^* \text{incl.}\|_0, C = C(\varepsilon)$ . If  $z$  is injective, then  $z_1$  can be injective.

Proof (of Thm. using Prop.): (only for immersions)

(1)

Let  $\varphi =: \varphi_0$ , WLOG  $\varphi$  strictly short. Apply prop. with  $z = \varphi_0$  and  $\eta = \frac{\epsilon}{4}$ ,  $\delta = \frac{1}{4}$ . Get  $z_1 =: \varphi_1$ .

Iterate the prop. with  $z = \varphi_j$ ,  $\eta = \frac{\epsilon}{2^{j+2}}$ ,  $\delta = \frac{1}{4^{j+1}} \rightsquigarrow z_1 =: \varphi_{j+1}$ .

From triangle ineq.  $\rightarrow \{\varphi_j\}$  Cauchy in  $C^0$ , with limit  $u$

$u = \lim_{j \rightarrow \infty} (\varphi_0 + (\varphi_1 - \varphi_0) + (\varphi_2 - \varphi_1) + \dots)$  exists,

$\|\varphi_0 - u\|_0 \leq \frac{\epsilon}{2}$ . Also,  $\|D\varphi_{j+1} - D\varphi_j\|_0 \leq C \sqrt{\|g - \varphi_j^{\#} \text{eud}\|_0} \leq C\sqrt{\delta}$ ,

Thus convergence in  $C^1$ , not just  $C^0$ .

Thus  $\varphi^{\#} \text{eud} \xrightarrow{C^0} u^{\#} \text{eud}$ . Thus  $u$  is immersion.

• Check that  $u$  is injective. (for embeddings)

Analyst's key prop.:

Define  $\mathcal{L}_r := \left\{ A \in \text{sym}^{n \times n} : \left\| \frac{A}{\frac{1}{n} \text{tr} A} - \mathbb{1} \right\| < r \right\}$ . cone of symmetric matrices in neighborhood of  $\mathbb{1}$ .

Key prop.:  $\exists r_0 = r_0(n)$  s.t.: If  $U \subseteq \mathbb{R}^n$  is bounded simply connected open domain,  $g \in C^\infty(\bar{U})$  smooth metric  $z \in C^\infty(\bar{U} \rightarrow \mathbb{R}^N)$  smooth short map with  $g - z^{\#} \text{eud} \in \mathcal{L}_{r_0}$ .

$\forall x \in \bar{U}$ , then  $\forall \delta, \eta > 0$ ,  $\exists$  smooth short map  $z_1: \bar{U} \rightarrow \mathbb{R}^N$

$g - z_1^{\#} \text{eud} \in \mathcal{L}_{r_0}$ ,  $\forall x \in \bar{U}$  and

$\|z - z_1\|_0 \leq \eta$ ,  $\|g - z_1^{\#} \text{eud}\|_0 \leq \delta$ ,  $\|Dz - Dz_1\|_0 \leq M_n \sqrt{\|g - z_1^{\#} \text{eud}\|_0}$ .

If  $z$  injective then  $z_1$  can be injective.

We decompose the metric into "primitive" metrics.

(3.)

Lemma: (2.4. NNI) Let  $S_+^{n \times n}$  be the set of pos. def. symmetric matrices. Then  $\exists$  neighborhood  $W$  of  $I$  and  $N(n) = \frac{n(n+1)}{2}$  unit vectors  $v_k \in \mathbb{R}^n$ ; any  $A \in W$  can be written as

$$A = \sum_{k=1}^{N(n)} \lambda_k(A) v_k \otimes v_k : \lambda_k(A) \text{ linear in } A \text{ and}$$

$$\lambda_k(A) \geq \rho_0(n) \text{ for some } \rho_0(n) > 0.$$

Proof of analyst's key prop.:  $z^\# \text{eucl} = Dz^T Dz$

By assumption:  $g - z^\# \text{eucl} = g - Dz^T Dz \in \mathcal{C}_\rho$  which is positive def.  
 $\leadsto g - Dz^T Dz \geq 2\gamma I$  for some  $\gamma > 0$ . WLOG  $\gamma \leq \delta$ .

Let  $h(x) = g(x) - Dz^T Dz - 2\gamma I \in \mathcal{C}_\rho$ .

By Lemma:  $h(x) = \sum_{k=1}^{N(n)} a_k^2(x) v_k \otimes v_k =: \sum_k h_k$   
 $\in C^\infty$  as lin. in  $h$ .

$$(1) \quad z \rightarrow \bar{z} : Dz^T Dz \simeq D\bar{z}^T D\bar{z} + h_1$$

Prop.: U as above  $a_1 \in C^\infty(\bar{u})$ ,  $v_1$  unit vector  $z \in C^\infty(\bar{u} \rightarrow \mathbb{R}^N)$  short. Then  $\forall \tilde{\delta}, \tilde{\eta}, \exists z \in C^\infty(\bar{u} \rightarrow \mathbb{R}^N)$  short s.t.:

$$\|\bar{z} - z\| < \tilde{\eta}, \quad \|D\bar{z}^T D\bar{z} - Dz^T Dz - a_1^2 v_1 \otimes v_1\| \leq \tilde{\delta}$$

$$\|D\bar{z} - Dz\| \leq \tilde{M}_n \|a_1\|$$

Pf.

$\bar{z}(x) = z(x) + z_p(x)$ , where  $z_p(x)$  defined as follows:

Choose unit length normal fields,  $v, b: U \rightarrow \mathbb{R}^N$ :  $v(x) \perp b(x)$ ,  $\forall x$ .  
 $v(x), b(x) \perp T(z(x))$ .

$$z_p = \frac{a_1(x)}{\lambda} (v(x) \cos(\lambda \vartheta \cdot x) + b(x) \sin(\lambda \vartheta \cdot x)).$$

(4)

$\lambda$  - to be chosen to make the estimates work ( $\lambda \gg 1$ ) [Nash Spirals]

- First estimate is clear.
- Third estimate follows directly from differentiation of sin/cos and cancel  $\frac{1}{\lambda}$ .
- Second estimate: Compute  $D_{\bar{z}}^T D_{\bar{z}} - D_z^T D_z$ .

$$D_{\bar{z}} = D_z + D_{z_p}$$

$$D_{\bar{z}}^T D_{\bar{z}} = D_z^T D_z + \underbrace{D_z^T D_{z_p} + D_{z_p}^T D_z}_{=: L} + \underbrace{D_{z_p}^T D_{z_p}}_{=: Q}$$

$$D_{z_p}(x) = \underbrace{-a_1(x) \sin(\lambda \vartheta \cdot x) v(x) \otimes \vartheta}_{=: A} + \underbrace{a_1(x) \cos(\lambda \vartheta \cdot x) b(x) \otimes \vartheta}_{=: B} + \text{lot } \lambda \rightarrow 0 \text{ as } \lambda \rightarrow \infty$$

The key observation is that  $Q$  is dominant.

$$A^T D_{z_p} = 0 = B^T D_z \quad \text{by orthogonality}$$

$$D_z^T A = 0 = D_z^T B$$

$$\leadsto \|L\| \leq c \|\text{error}\|$$

$$\text{But } \begin{pmatrix} D_{\bar{z}}^T D_{\bar{z}} \\ -D_z^T D_z \end{pmatrix} \approx (A+B)^T (A+B) = a_1^2 \vartheta \otimes \vartheta + \underbrace{A^T B + B^T A}_{=0}$$

$$\leadsto \|D_{\bar{z}}^T D_{\bar{z}} - D_z^T D_z - a_1^2 \vartheta \otimes \vartheta\| \leq \delta$$